

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

REKHA GUPTA et al.

Application No.: 10/654,980

Filing Date: September 5, 2003

Group Art Unit: 3743

Examiner: Not Yet Assigned

Confirmation No.: 5640

Title: AEROSOL GENERATING DEVICES AND METHODS FOR GENERATING AEROSOLS HAVING  
CONTROLLED PARTICLE SIZES

SECOND  
INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is a **SECOND** Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \_\_\_\_\_ is enclosed for the fee due.

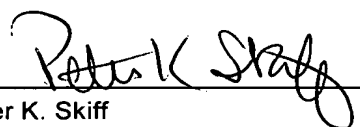
The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

Date: January 12, 2004

By   
Peter K. Skiff  
Registration No. 31,917

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of

Raj GUPTA et al.

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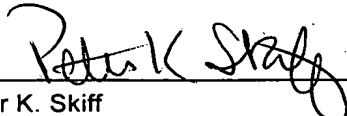
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) Group Art Unit: 3743

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For: AEROSOL GENERATING DEVICES  
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PARTICLE SIZES

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

n accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit a copy of the International Search Report dated December 24, 2003 for a corresponding application. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents not previously cited is enclosed.

**Notification of Transmittal of the International Search Report or the Declaration**  
dated December 24, 2003 for PCT/US03/27730.

I, the undersigned, hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in § 1.56(c) more than 30 (thirty) days prior to the filing of this Information Disclosure Statement.

To assist the Examiner, the document is listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

**BURNS, DOANE, SWECKER & MATHIS, L.L.P.**

Date January 12, 2004  
P.O. Box 1404  
Alexandria, VA 22313-1404

**By:**

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**Peter K. Skiff**

Registration No. 31,917

# **SECOND INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1

of

1

**Complete if Known**

Application Number	10/654,980
Filing Date	September 5, 2003
First Named Inventor	Rajiv GUPTA et al.
Examiner Name	Not Yet Assigned
Attorney Docket Number	033018-113

**U.S. PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	6,568,390		Nichols et al.	05-27-2003
	2002/0079377A1		Nichols	06-27-2002

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	Translation	
					Yes	No
	WO 01/21319A1		WIPO	03-29-2001		

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	Notification of Transmittal of the International Search Report or the Declaration dated December 24, 2003 for PCT/US03/27730

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.